## Bottom-Up Syntax Analysis

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## Topics

- Functionality and Method
- Example Parsers
- Derivation of a Parser
- Conflicts
- $L R(k)$-Grammars
- LR(1)-Parser Generation
- Precedence Climbing


## Bottom-Up Syntax Analysis

Input: A stream of symbols (tokens)
Output: A syntax tree or error
Method: until input consumed or error do

- shift next symbol or reduce by some production
- decide what to do by looking $k$ symbols ahead

Properties: - Constructs the syntax tree in a bottom-up manner

- Finds the rightmost derivation (in reversed order)
- Reports error as soon as the already read part of the input is not a prefix of a program (valid prefix property)

Parsing $a a b b$ in the grammar $G_{a b}$ with $S \rightarrow a S b \mid \epsilon$

| Stack | Input | Action | Dead ends |
| :--- | :--- | :--- | :--- |
| $\$$ | $a a b b \#$ | shift | reduce $S \rightarrow \epsilon$ |
| $\$ a$ | $a b b \#$ | shift | reduce $S \rightarrow \epsilon$ |
| $\$ a a$ | $b b \#$ | reduce $S \rightarrow \epsilon$ | shift |
| $\$ a a S$ | $b b \#$ | shift | reduce $S \rightarrow \epsilon$ |
| $\$ a a S b$ | $b \#$ | reduce $S \rightarrow a S b$ | shift, reduce $S \rightarrow \epsilon$ |
| $\$ a S$ | $b \#$ | shift | reduce $S \rightarrow \epsilon$ |
| $\$ a S b$ | $\#$ | reduce $S \rightarrow a S b$ | reduce $S \rightarrow \epsilon$ |
| $\$ S$ | $\#$ | accept | reduce $S \rightarrow \epsilon$ |

Issues:

- Shift vs. Reduce
- Reduce $A \rightarrow \beta$, Reduce $B \rightarrow \alpha \beta$


## Parsing aa in the grammar $S \rightarrow A B, S \rightarrow A, A \rightarrow a, B \rightarrow a$

| Stack | Input | Action | Dead ends |
| :--- | :--- | :--- | :--- |
| $\$$ | $a a \#$ | shift |  |
| $\$ a$ | $a \#$ | reduce $A \rightarrow a$ | reduce $B \rightarrow a$, shift |
| $\$ A$ | $a \#$ | shift | reduce $S \rightarrow A$ |
| $\$ A a$ | $\#$ | reduce $B \rightarrow a$ | reduce $A \rightarrow a$ |
| $\$ A B$ | $\#$ | reduce $S \rightarrow A B$ |  |
| $\$ S$ | $\#$ | accept |  |

Issues:

- Shift vs. Reduce
- Reduce $A \rightarrow \beta$, Reduce $B \rightarrow \alpha \beta$


## Shift-Reduce Parsers

- The bottom-up Parser is a shift-reduce parser, each step is a shift: consuming the next input symbol or reduction: reducing a suffix of the stack contents by some production.
- problem is to decide when to stop shifting and make a reduction
- a next right side to reduce is called a handle if reducing too early leads to a dead end, reducing too late buries the handle


## LR-Parsers - Deterministic Shift-Reduce Parsers

Parser decides whether to shift or to reduce based on

- the contents of the stack and
- $k$ symbols lookahead into the rest of the input

Property of the LR-Parser: it suffices to consider the topmost state on the stack instead of the whole stack contents.

## From $P_{G}$ to LR-Parsers for $G$

- $P_{G}$ has non-deterministic choice of expansions,
- LL-parsers eliminate non-determinism by looking ahead at expansions,
- LR-parsers pursue all possibilities in parallel (corresponds to the subset-construction in NFSM $\rightarrow$ DFSM).

Derivation:

1. Characteristic finte-state machine of $G$, a description of $P_{G}$
2. Make deterministic
3. Interpret as control of a push down automaton
4. Check for "inedaquate" states

## Characteristic Finite-State Machine of $G$

$\ldots$ is a $\operatorname{NFSM} \operatorname{ch}(G)=\left(Q_{c}, V_{c}, \Delta_{c}, q_{c}, F_{c}\right)$ :

- states are the items of $G$

$$
Q_{c}=I t_{G}
$$

- input alphabet are terminals and non-terminals

$$
V_{c}=V_{T} \cup V_{N}
$$

- start state $q_{c}=\left[S^{\prime} \rightarrow . S\right]$
- final states are the complete items

$$
F_{c}=\{[X \rightarrow \alpha \cdot] \mid X \rightarrow \alpha \in P\}
$$

- Transitions:

$$
\begin{aligned}
\Delta_{c}=\{([X \rightarrow \alpha . Y \beta], Y,[X \rightarrow \alpha Y . \beta]) \mid & X \rightarrow \alpha Y \beta \in P \text { and } \\
& \left.Y \in V_{N} \cup V_{T}\right\} \\
\cup\{([X \rightarrow \alpha . Y \beta], \varepsilon,[Y \rightarrow . \gamma]) \mid \quad & X \rightarrow \alpha Y \beta \in P \text { and } \\
& Y \rightarrow \gamma \in P\}
\end{aligned}
$$

## Item PDA and Characteristic NFA

 for $G_{a b}: S \rightarrow a S b \mid \epsilon$ and $c h\left(G_{a b}\right)$| Stack | Input | New Stack |
| :--- | :--- | :--- |
| $\left[S^{\prime} \rightarrow . S\right]$ | $\epsilon$ | $\left[S^{\prime} \rightarrow . S\right][S \rightarrow . a S b]$ |
| $\left[S^{\prime} \rightarrow . S\right]$ | $\epsilon$ | $\left[S^{\prime} \rightarrow . S\right][S \rightarrow]$. |
| $[S \rightarrow . a S b]$ | $a$ | $[S \rightarrow a . S b]$ |
| $[S \rightarrow a . S b]$ | $\epsilon$ | $[S \rightarrow a . S b][S \rightarrow . a S b]$ |
| $[S \rightarrow a . S b]$ | $\epsilon$ | $[S \rightarrow a . S b][S \rightarrow]$. |
| $[S \rightarrow a S . b]$ | $b$ | $[S \rightarrow a S b]$. |
| $[S \rightarrow a . S b][S \rightarrow]$. | $\epsilon$ | $[S \rightarrow a S . b]$ |
| $[S \rightarrow a . S b][S \rightarrow a S b]$. | $\epsilon$ | $[S \rightarrow a S . b]$ |
| $\left[S^{\prime} \rightarrow . S\right][S \rightarrow a S b]$. | $\epsilon$ | $\left[S^{\prime} \rightarrow S.\right]$ |
| $\left[S^{\prime} \rightarrow . S\right][S \rightarrow]$. | $\epsilon$ | $\left[S^{\prime} \rightarrow S.\right]$ |



## Characteristic NFSM for $G_{0}$

$S \rightarrow E, \quad E \rightarrow E+T|T, \quad T \rightarrow T * F| F, \quad F \rightarrow(E) \mid$ id


## Interpreting $\operatorname{ch}(G)$

State of $\operatorname{ch}(G)$ is the current state of $P_{G}$, i.e. the state on top of
$P_{G}$ 's stack. Adding actions to the transitions and states of $\operatorname{ch}(G)$ to describe $P_{G}$ :
$\varepsilon$-transitions: push new state of $\operatorname{ch}(G)$ onto stack of $P_{G}$ : new current state.
reading transitions: shifting transitions of $P_{G}$ : replace current state of $P_{G}$ by the shifted one.
final state: Correspond to the following actions in $P_{G}$ :

- pop final state $[X \rightarrow \alpha$.] from the stack,
- do a transition from the new topmost state under $X$,
- push the new state onto the stack.


## Handles and Viable Prefixes

Some Abbreviations:
RMD: rightmost derivation
RSF: right sentential form
Consider a RMD of cfg G:

$$
S^{\prime} \underset{r m}{*} \beta X u \underset{r m}{\Longrightarrow} \beta \alpha u
$$

- $\alpha$ is a handle of $\beta \alpha u$.

The part of a RSF next to be reduced.

- Each prefix of $\beta \alpha$ is a viable prefix.

A prefix of a RSF stretching at most up to the end of the handle, i.e. reductions if possible then only at the end.

## Examples in $G_{0}$

| RSF (handle) | viable prefix | Reason |
| :--- | :--- | :--- |
| $E+\underline{F}$ | $E, E+, E+F$ | $S \underset{r m}{\Longrightarrow} E \underset{r m}{\Longrightarrow} E+T \underset{r m}{\Longrightarrow} E+F$ |
| $T * \underline{\mathbf{i d}}$ | $T, T *, T * \mathbf{i d}$ | $S \underset{r m}{3} T * F \underset{r m}{\Longrightarrow} T * \mathbf{i d}$ |
| $\underline{F} * \mathbf{i d}$ | $F$ | $S \underset{r m}{\neq} T * \mathbf{i d} \underset{r m}{\Longrightarrow} F * \mathbf{i d}$ |
| $T * \underline{\mathbf{i d}}+\mathbf{i d}$ | $T, T *, T * \mathbf{i d}$ | $S \underset{r m}{3} T * F \underset{r m}{\Longrightarrow} T * \mathbf{i d}$ |

## Valid Items

$[X \rightarrow \alpha . \beta]$ is valid for the viable prefix $\gamma \alpha$, if there exists a RMD

$$
S^{\prime} \stackrel{r m}{*} \gamma X w \underset{r m}{\Longrightarrow} \gamma \alpha \beta w
$$

An item valid for a viable prefix gives one interpretation of the parsing situation.

Some viable prefixes of $G_{0}$ :

\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \begin{tabular}{l}
Viable \\
Prefix
\end{tabular} \& Valid Items \& Reason \& \(\gamma\) \& w \& X \& \(\alpha\) \& \(\beta\) \\
\hline \(E+\)

$(E+$ + \& \[
$$
\begin{aligned}
& {[E \rightarrow E+. T]} \\
& {[T \rightarrow . F]} \\
& {[F \rightarrow . i d]} \\
& {[F \rightarrow(. E)]}
\end{aligned}
$$

\] \&  \& \[

$$
\begin{aligned}
& \varepsilon \varepsilon \\
& E+ \\
& E+ \\
& (E+
\end{aligned}
$$
\] \& $\varepsilon$

$\varepsilon$

) \& \begin{tabular}{l}
E <br>
\hline <br>
F <br>
F

 \& 

$$
E+
$$ <br>

$\varepsilon$ <br>
$\varepsilon$ <br>
(
\end{tabular} \& $T$

$F$
id
E) <br>
\hline
\end{tabular}

## Valid Items and Parsing Situations

Given some input string xuvw.
The RMD
$S^{\prime} \xlongequal[r m]{*} \gamma X w \underset{r m}{\Longrightarrow} \gamma \alpha \beta w \xlongequal[r m]{*} \gamma \alpha v w \underset{r m}{*} \gamma u v w \underset{r m}{*} x u v w$
describes the following sequence of partial derivations:
$\gamma \underset{r m}{*} x \quad \alpha \underset{r m}{*} u \quad \beta \underset{r m}{*} v \quad X \underset{r m}{\Longrightarrow} \alpha \beta$
$S^{\prime} \underset{r m}{*} \gamma X_{w}$
performed by the bottom-up parser in this order.
The valid item $[X \rightarrow \alpha . \beta]$ for the viable prefix $\gamma \alpha$ describes the situation after partial derivation 2, that is, for RSF $\gamma \alpha v w$

## Theorems

$$
\operatorname{ch}(G)=\left(Q_{c}, V_{c}, \Delta_{c}, q_{c}, F_{c}\right)
$$

## Theorem

For each viable prefix there is at least one valid item.
Every parsing situation is described by at least one valid item.

## Theorem

Let $\gamma \in\left(V_{T} \cup V_{N}\right)^{*}$ and $q \in Q_{c}$. $\left(q_{c}, \gamma\right) \vdash_{c h(G)}^{*}(q, \varepsilon)$ iff $\gamma$ is a viable prefix and $q$ is a valid item for $\gamma$.

A viable prefix brings $c h(G)$ from its initial state to all its valid items.

## Theorem

The language of viable prefixes of a cfg is regular.

## Making $\operatorname{ch}(G)$ deterministic

Apply NFSM $\rightarrow$ DFSM to $c h(G)$ : Result $L R_{0}(G)$.
Example: $\operatorname{ch}\left(G_{a b}\right)$

$L R_{0}\left(G_{a b}\right):$

## Characteristic NFSM for $G_{0}$

$S \rightarrow E, \quad E \rightarrow E+T|T, \quad T \rightarrow T * F| F, \quad F \rightarrow(E) \mid$ id


## $L R_{0}\left(G_{0}\right)$

$S \rightarrow E, \quad E \rightarrow E+T|T, \quad T \rightarrow T * F| F, \quad F \rightarrow(E) \mid$ id


## The States of $L R_{0}\left(G_{0}\right)$ as Sets of Items

$$
\begin{aligned}
& S_{0}=\left\{\quad[S \rightarrow . E], \quad S_{5}=\{[F \rightarrow \text { id. }]\}\right. \\
& {[E \rightarrow E+T] \text {, }} \\
& {[E \rightarrow . T], \quad S_{6}=\{[E \rightarrow E+. T] \text {, }} \\
& {[T \rightarrow . T * F], \quad[T \rightarrow . T * F],} \\
& {[T \rightarrow . F] \text {, }} \\
& {[F \rightarrow .(E)] \text {, }} \\
& [F \rightarrow . i d]\} \\
& S_{1}=\left\{\quad[S \rightarrow E .], \quad S_{7}=\{[T \rightarrow T * . F],\right. \\
& [E \rightarrow E .+T]\} \quad[F \rightarrow .(E)] \text {, } \\
& [F \rightarrow . i d]\} \\
& S_{2}=\left\{\quad[E \rightarrow T .], \quad S_{8}=\{[F \rightarrow(E .)] \text {, }\right. \\
& [T \rightarrow T . * F]\} \quad[E \rightarrow E .+T]\} \\
& S_{3}=\{[T \rightarrow F .]\} \quad S_{9}=\{\quad[E \rightarrow E+T .] \text {, } \\
& [T \rightarrow T . * F]\} \\
& S_{4}=\left\{\quad[F \rightarrow(. E)], \quad S_{10}=\{[T \rightarrow T * F .]\}\right. \\
& {[E \rightarrow E+T] \text {, }} \\
& {[E \rightarrow . T], \quad S_{11}=\{[F \rightarrow(E) .]\}} \\
& {[T \rightarrow . T * F]} \\
& {[T \rightarrow . F]} \\
& {[F \rightarrow .(E)]} \\
& [F \rightarrow \text {.id }]\}
\end{aligned}
$$

## Theorems

$c h(G)=\left(Q_{c}, V_{c}, \Delta_{c}, q_{c}, F_{c}\right)$ and $L R_{0}(G)=\left(Q_{d}, V_{N} \cup V_{T}, \Delta, q_{d}, F_{d}\right)$

## Theorem

Let $\gamma$ be a viable prefix and $p(\gamma) \in Q_{d}$ be the uniquely determined state, into which $L R_{0}(G)$ transfers out of the initial state by reading $\gamma$, i.e., $\left(q_{d}, \gamma\right) \stackrel{\vdash_{\text {LROO }(G)}^{*}}{L^{*}}(p(\gamma), \varepsilon)$. Then
(a) $p(\varepsilon)=q_{d}$
(b) $p(\gamma)=\left\{q \in Q_{c} \mid\left(q_{c}, \gamma\right) \vdash_{c h(G)}^{*}(q, \varepsilon)\right\}$
(c) $p(\gamma)=\left\{i \in I_{G} \mid i\right.$ valid for $\left.\gamma\right\}$
(d) Let $\Gamma$ the (in general infinite) set of all viable prefixes of $G$. The mapping $p: \Gamma \rightarrow Q_{d}$ defines a finite partition on $\Gamma$.
(e) $L\left(L R_{0}(G)\right)$ is the set of viable prefixes of $G$ that end in a handle.
$\gamma=E+F$ is a viable prefix of $G_{0}$. With the state $p(\gamma)=S_{3}$ are also associated:
$F,(F,((F),((F, \ldots$
$T *(F, T *((F, T *)((F, \ldots$
$E+F, E+(F, E+((F, \ldots$
Consider $S_{6}$ in $L R_{0}\left(G_{0}\right)$. It consists of all valid items for the viable prefix $E+$, i.e., the items
$[E \rightarrow E+. T],[T \rightarrow . T * F],[T \rightarrow . F],[F \rightarrow . \mathbf{i d}],[F \rightarrow .(E)]$.
Reason:
$E+$ is prefix of the RSF $E+T$;
$S \underset{r m}{\Longrightarrow} E \underset{r m}{\Longrightarrow} E+T \quad E+F \underset{r m}{\Longrightarrow} E+\mathbf{i d}$


Therefore $[E \rightarrow E+. T] \quad[T \rightarrow . F] \quad[F \rightarrow . i d]$ valid.

## What the $L R_{0}(G)$ describes

$L R_{0}(G)$ interpreted as a PDA $P_{0}(G)=\left(\Gamma, V_{T}, \Delta, q_{0},\left\{q_{f}\right\}\right)$

- $\Gamma$ (stack alphabet): the set $Q_{d}$ of states of $L R_{0}(G)$.
- $q_{0}=q_{d}$ (initial state): in the stack of $P_{0}(G)$ initially.
- $q_{f}=\left\{\left[S^{\prime} \rightarrow S.\right]\right\}$ the final state of $L R_{0}(G)$,
- $\Delta \subseteq \Gamma^{*} \times\left(V_{T} \cup\{\varepsilon\}\right) \times \Gamma^{*}$ (transition relation):

Defined as follows:

## $L R_{0}(G)$ 's Transition Relation

shift: $\left(q, a, q \delta_{d}(q, a)\right) \in \Delta$, if $\delta_{d}(q, a)$ defined. Read next input symbol $a$ and push successor state of $q$ under $a($ item $[X \rightarrow \cdots . a \cdots] \in q)$.
reduce: $\left(q q_{1} \ldots q_{n}, \varepsilon, q \delta_{d}(q, X)\right) \in \Delta$, if $[X \rightarrow \alpha.] \in q_{n},|\alpha|=n$.
Remove $|\alpha|$ entries from the stack.
Push the successor of the new topmost state under $X$ onto the stack.

Note the difference in the stacking behavior:

- the Item PDA $P_{G}$ keeps on the stack only one item for each production under analysis,
- the PDA described by the $L R_{0}(G)$ keeps $|\alpha|$ states on the stack for a production $X \rightarrow \alpha \beta$ represented with item $[X \rightarrow \alpha \cdot \beta]$


## Reduction in PDA $P_{0}(G)$

$$
\left\{\begin{array}{c}
{[\cdots \rightarrow \cdots . x \cdots]} \\
{[x \rightarrow . \alpha]} \\
\cdots
\end{array}\right\} \xrightarrow{x}\left\{\begin{array}{c}
{[\cdots \rightarrow \cdots x \cdot \cdots]} \\
\cdots
\end{array}\right\}
$$

## Some observations and recollections

- also works for reductions of $\epsilon$,
- each state has a unique entry symbol,
- the stack contents uniquely determine a viable prefix,
- current state (topmost) is the state associated with this viable prefix,
- current state consists of all items valid for this viable prefix.


## Non-determinism in $P_{0}(G)$

$P_{0}(G)$ is non-deterministic if either
Shift-reduce conflict: There are shift as well as reduce transitions out of one state, or

Reduce-reduce conflict: There are more than one reduce transitions from one state.

States with a shift-reduce conflict have at least one read item $[X \rightarrow \alpha . a \beta]$ and at least one complete item $[Y \rightarrow \gamma \cdot]$.

States with a reduce-reduce conflict have at least two complete items $[Y \rightarrow \alpha$.], $[Z \rightarrow \beta$.].

A state with a conflict is inadequate.

## Some Inadequate States


$L R_{0}\left(G_{0}\right)$ has three inadequate states, $S_{1}, S_{2}$ and $S_{9}$.
$S_{1}$ : Can reduce $E$ to $S$ (complete item [ $\left.S \rightarrow E.\right]$ ) or read "+" (shift-item $[E \rightarrow E .+T]$ );
$S_{2}$ : Can reduce $T$ to $E$ (complete item $[E \rightarrow T$.$] )$ or read "*" (shift-item [T $T$. $* F$ ]);
$S_{9}$ : Can reduce $E+T$ to $E$ (complete item $[E \rightarrow E+T$.]) or read "*" (shift-item $[T \rightarrow T . * F]$ ).

## Adding Lookahead

- $\operatorname{LR}(\mathrm{k})$ item $\left[X \rightarrow \alpha_{1} \cdot \alpha_{2}, L\right]$ if $X \rightarrow \alpha_{1} \alpha_{2} \in P$ and $L \subseteq V_{\bar{T} \#}^{\leq k}$
- $\operatorname{LR}(0)$ item $\left[X \rightarrow \alpha_{1} \cdot \alpha_{2}\right]$ is called core of $\left[X \rightarrow \alpha_{1} \cdot \alpha_{2}, L\right]$
- lookahead set $L$ of $\left[X \rightarrow \alpha_{1} . \alpha_{2}, L\right]$
- $\left[X \rightarrow \alpha_{1} \cdot \alpha_{2}, L\right]$ is valid for a viable prefix $\alpha \alpha_{1}$ if

$$
S^{\prime} \# \underset{r m}{*} \alpha X w \underset{r m}{\Longrightarrow} \alpha \alpha_{1} \alpha_{2} w
$$

and

$$
L=\left\{u \mid S^{\prime} \# \underset{r m}{*} \alpha X w \underset{r m}{\Longrightarrow} \alpha \alpha_{1} \alpha_{2} w \quad \text { and } \quad u=k: w\right\}
$$

The context-free items can be regarded as $\operatorname{LR}(0)$-items if $\left[X \rightarrow \alpha_{1} . \alpha_{2},\{\varepsilon\}\right]$ is identified with $\left[X \rightarrow \alpha_{1} . \alpha_{2}\right]$.

## Example from $G_{0}$

1. $[E \rightarrow E+. T,\{ ),+, \#\}]$ is a valid $\operatorname{LR}(1)$-item for $(E+$
2. $[E \rightarrow T .,\{*\}]$ is not a valid $L R(1)$-item for any viable prefix

Reasons:

1. $S^{\prime} \underset{r m}{*}(E) \underset{r m}{\Longrightarrow}(E+T) \underset{r m}{*}(E+T+\mathbf{i d})$ where

$$
\alpha=\left(, \alpha_{1}=E+, \alpha_{2}=T, u=+, w=+\mathbf{i d}\right)
$$

2. The string $E *$ can occur in no RMD.

## LR-Parser

Take their decisions (to shift or to reduce) by consulting

- the viable prefix $\gamma$ in the stack, actually the by $\gamma$ uniquely determined state (on top of the stack),
- the next $k$ symbols of the remaining input.
- Recorded in an action-table.
- The entries in this table are:

| shift: | read next input symbol; |
| :--- | :--- |
| reduce $(X \rightarrow \alpha)$ : | reduce by production $X \rightarrow \alpha ;$ |
| error: | report error |
| accept: | report successful termination. |

A goto-table records the transition function of characteristic automaton

## The action- and the goto-table



## Parser Table for $S \rightarrow a S b \mid \epsilon$

Action table

| state | sets of items | symbols |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | a | $b$ | \# |
| 0 | $\left\{\begin{array}{l}{\left[S^{\prime} \rightarrow . S\right],} \\ {[S \rightarrow . a S b],} \\ [S \rightarrow .]\}\end{array}\right\}$ | $s$ |  | $r(S \rightarrow \epsilon)$ |
| 1 | $\left\{\begin{array}{l} {[S \rightarrow a \cdot S b]} \\ {[S \rightarrow . a S b]} \\ [S \rightarrow .]\} \end{array}\right\}$ | $s$ | $r(S \rightarrow \epsilon)$ |  |
| 2 | \{[S $\rightarrow$ aS. $b$ ] $\}$ |  | $s$ |  |
| 3 | $\{[S \rightarrow a S b]$. |  | $r(S \rightarrow a S b)$ | $r(S \rightarrow a S b)$ |
| 4 | $\left\{\left[S^{\prime} \rightarrow\right.\right.$ S $\left.]\right\}$ |  |  | accept |

Goto table

| state | symbol |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | $a$ | $b$ | $\#$ | $S$ |
|  | 1 |  |  | 4 |
| 1 | 1 |  |  | 2 |
| 2 |  | 3 |  |  |
| 3 |  |  |  |  |
| 4 |  |  |  |  |


| Stack | Input | Action |
| :--- | :--- | :--- |
| $\$ 0$ | $a a b b \#$ | shift 1 |
| $\$ 01$ | $a b b \#$ | shift 1 |
| $\$ 011$ | $b b \#$ | reduce $S \rightarrow \epsilon$ |
| $\$ 0112$ | $b b \#$ | shift 3 |
| $\$ 01123$ | $b \#$ | reduce $S \rightarrow a S b$ |
| $\$ 012$ | $b \#$ | shift 3 |
| $\$ 0123$ | $\#$ | reduce $S \rightarrow a S b$ |
| $\$ 04$ | $\#$ | accept |

## Algorithm LR(1)-PARSER

type state = set of item;
var lookahead: symbol;
( $*$ the next not yet consumed input symbol $*$ )
$S$ : stack of state;
proc scan;
( $*$ reads the next symbol into lookahead $*$ )
proc acc;
(* report successful parse; halt *)
proc err(message: string);
(* report error; halt *)
scan; push $\left(S, q_{d}\right)$;
forever do
case action $[\operatorname{top}(S)$, lookahead] of
shift: begin push(S, goto[top(S), lookahead]);
scan
end ;
reduce $(X \rightarrow \alpha)$ : begin

$$
\begin{aligned}
& \operatorname{pop}^{|\alpha|}(S) ; \operatorname{push}(S, \operatorname{goto}[\operatorname{top}(S), X]) \text {; } \\
& \text { output }(" X \rightarrow \alpha ")
\end{aligned}
$$

end ;
accept: acc;
error: err("...");
end case
od

## LR(1)-Conflicts

Set of $\operatorname{LR}(1)$-items / has a
shift-reduce-conflict:
if exists at least one item $\left[X \rightarrow \alpha . a \beta, L_{1}\right] \in I$
and at least one item $\left[Y \rightarrow \gamma ., L_{2}\right] \in I$, and if $a \in L_{2}$.
reduce-reduce-conflict:
if it contains at least two items $\left[X \rightarrow \alpha ., L_{1}\right]$
and $\left[Y \rightarrow \beta\right.$., $L_{2}$ ] where $L_{1} \cap L_{2} \neq \emptyset$.
A state with a conflict is called inadequate.

## Example from $G_{0}$

$$
\begin{aligned}
& S_{0}^{\prime}=\text { Closure(Start) } \\
& =\{[S \rightarrow . E,\{\#\}] \\
& {[E \rightarrow . E+T,\{\#,+\}] \text {, }} \\
& {[E \rightarrow . T,\{\#,+\}] \text {, }} \\
& {[T \rightarrow . T * F,\{\#,+, *\}] \text {, }} \\
& {[T \rightarrow . F,\{\#,+, *\}] \text {, }} \\
& {[F \rightarrow .(E),\{\#,+, *\}] \text {, }} \\
& [F \rightarrow \text {.id, }\{\#,+, *\}]\} \quad S_{9}^{\prime}=C \operatorname{losure}\left(\operatorname{Succ}\left(S_{6}^{\prime}, T\right)\right) \\
& =\{[E \rightarrow E+T .,\{\#,+\}] \text {, } \\
& [T \rightarrow T . * F,\{\#,+, *\}]\} \\
& S_{1}^{\prime}=\operatorname{Closure}\left(\operatorname{Succ}\left(S_{0}^{\prime}, E\right)\right) \\
& =\{[S \rightarrow E .,\{\#\}] \text {, } \\
& [E \rightarrow E .+T,\{\#,+\}]\} \\
& S_{6}^{\prime}=\operatorname{Closure}\left(\operatorname{Succ}\left(S_{1}^{\prime},+\right)\right) \\
& =\{[E \rightarrow E+. T,\{\#,+\}] \text {, } \\
& {[T \rightarrow . T * F,\{\#,+, *\}] \text {, }} \\
& {[T \rightarrow . F,\{\#,+, *\}] \text {, }} \\
& {[F \rightarrow .(E),\{\#,+, *\}] \text {, }} \\
& [F \rightarrow . \text { id, }\{\#,+, *\}]\} \\
& S_{2}^{\prime}=\operatorname{Closure}\left(\operatorname{Succ}\left(S_{0}^{\prime}, T\right)\right) \\
& =\{[E \rightarrow T .,\{\#,+\}] \text {, } \\
& [T \rightarrow T . * F,\{\#,+, *\}]\}
\end{aligned}
$$

Inadequate $\mathrm{LR}(0)$-states $S_{1}, S_{2}$ und $S_{9}$ are adequate after adding lookahead sets.
$S_{1}^{\prime}$ shifts under "+", reduces under "\#".
$S_{2}^{\prime}$ shifts under "*", reduces under "\#" and "+",
$S_{9}^{\prime}$ shifts under "*", reduces under "\#" and "+".

## Operator Precedence Parsing

$G_{0}$ encodes operator precedence and associativity and used lookahead in an LR(1) parser to disambiguate.

Idea: Use ambiguous grammar $G_{0}^{\prime}$ :

$$
E \rightarrow E+E|E * E| \text { id } \mid(E)
$$

and operator precedence and associativity to disambiguate directly.

## Deterministic $\operatorname{ch}\left(G_{0}^{\prime}\right)$

. . . contains two states:

$$
\begin{array}{rlrl}
S_{7}: E & \rightarrow E+E . & S_{8}: E & \rightarrow E * E . \\
E & \rightarrow E .+E & E & \rightarrow E .+E \\
E & \rightarrow E . * E & E & \rightarrow E . * E
\end{array}
$$

with shift reduce conflicts.
In both states, the parser can reduce or shift either + or $*$.

- Consider the input id $+\mathbf{i d} * \mathbf{i d}$ and let the top of the stack be $S_{7}$.
- If reduce, then + has higher precendence than $*$
- If shift, then + has lower precendence than $*$
- Consider the input id + id + id and let the top of the stack be $S_{7}$.
- If reduce, + is left-associative
- If shift, + is right-associative


## Simple Implementation for Expression Parser

- Model precedence/assoc with left and right precedence
- Shift/reduce mechanism implemented with loop and recursion:

```
Expression parseExpression(Precedence precedence) {
    Expression expr = parsePrimary();
    for (;;) {
        TokenKind kind = currToken.getKind();
        // if operator in lookahead has less left precedence: reduce
        if (kind.getLPrec() < precedence)
        return expr;
    // else shift
    nextToken();
    // and parse other operand with right precedence
    Expression right = parseExpression(kind.getRPrec());
    expr = factory.createBinaryExpression(t, expr, right);
    }
    return expr;
}
```

