# **Syntactic Analysis**

Sebastian Hack (based on slides by Reinhard Wilhelm and Mooly Sagiv)

http://compilers.cs.uni-saarland.de

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## Syntactic Analysis: Topics

#### • Introduction

- The task of syntax analysis
- Automatic generation
- Error handling
- Context free grammars, derivations, and parse trees
- Grammar Flow Analysis
- Pushdown automata
- Top-down syntax analysis
- Bottom-up syntax analysis

• Functionality

Input Sequence of symbols (tokens)
Output Parse tree

- Report syntax errors, e,g., unbalanced parentheses
- Create "'pretty-printed" version of the program (sometimes)
- In some cases the tree need not be generated (one-pass compilers)

## Handling Syntax Errors

- Report and locate the error (symptom)
- Diagnose the error
- Correct the error
- Recover from the error in order to discover more errors (without reporting errors caused by others)

#### Example

$$a := a * (b + c * d;$$

Error Diagnosis Data

- Line number (may be far from the actual error)
- The current symbol
- The symbols expected in the current parser state

## Example Context Free Grammar (Section)

| Stat        | $\rightarrow$ | If_Stat                                |
|-------------|---------------|--|
|             |               | While_Stat                             |
|             |               | Repeat_Stat                            |
|             |               | Proc_Call                              |
|             |               | Assignment                             |
| lf_Stat     | $\rightarrow$ | if Cond then Stat_Seq else Stat_Seq fi |
|             |               | if Cond then Stat_Seq fi               |
| While_Stat  | $\rightarrow$ | while Cond do Stat_Seq od              |
| Repeat_Stat | $\rightarrow$ | <pre>repeat Stat_Seq until Cond</pre>  |
| Proc_Call   | $\rightarrow$ | Name ( Expr_Seq )                      |
| Assignment  | $\rightarrow$ | Name := Expr                           |
| Stat_Seq    | $\rightarrow$ | Stat                                   |
|             |               | Stat_Seq; Stat                         |
| Expr_Seq    | $\rightarrow$ | Expr                                   |
|             |               | Expr Seq, Expr                         |

A context-free-grammar is a quadruple  $G = (V_N, V_T, P, S)$  where:

- $V_N$  finite set of nonterminals
- $V_T$  finite set of terminals
- $P \subseteq V_N \times (V_N \cup V_T)^*$  finite set of production rules
- $S \in V_n$  the start nonterminal

Examples

$$G_{0} = (\{E, T, F\}, \{+, *, (, ), id\}, P_{0}, E)$$
$$P_{0} = \begin{cases} E \to E + T \mid T \\ T \to T * F \mid F \\ F \to (E) \mid id \end{cases}$$

$$G_1 = (\{E\}, \{+, *, (, ), id\}, P_1, E)$$
$$P_1 = \{E \rightarrow E + E \mid E * E \mid (E) \mid id\}$$

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Given a context-free-grammar  $G = (V_N, V_T, P, S)$ 

 $\bullet \ \varphi \implies \psi$ 

if there exist  $\varphi_1, \varphi_2 \in (V_N \cup V_T)^*$ ,  $A \in V_N$ 

- $\varphi \equiv \varphi_1 A \varphi_2$
- $A \rightarrow \alpha \in P$
- $\psi \equiv \varphi_1 \alpha \varphi_2$
- $\varphi \implies \psi$  reflexive transitive closure
- The language defined by G

$$L(G) = \{ w \in V_T^* \mid S \Longrightarrow w \}$$

A nonterminal A is

**reachable:** There exist  $\varphi_1, \varphi_2$  such that  $S \stackrel{*}{\Longrightarrow} \varphi_1 A \varphi_2$ **productive:** There exists  $w \in V_T^*$ ,  $A \stackrel{*}{\Longrightarrow} w$ 

Removal of unreachable and non-productive nonterminals and the productions they occur in doesn't change the defined language.

A grammar is reduced if it has neither unreachable nor non-productive nonterminals.

A grammar is extended if a new startsymbol S' and a new production  $S' \to S$  are added to the grammar.

From now on, we only consider reduced and extended grammars.

- An ordered tree.
- Root is labeled with S.
- Internal nodes are labeled by nonterminals.
- Leaves are labeled by terminals or by  $\varepsilon$ .
- For internal nodes n:

If *n* labeled by *N* and its children  $n.1, \ldots, n.n_p$  are labeled by  $N_1, \ldots, N_{n_p}$ , then  $N \rightarrow N_1, \ldots, N_{n_p} \in P$ .

# Examples



#### Leftmost (Rightmost) Derivations

Given a context-free grammar  $G = (V_N, V_T, P, S)$ 

- $\varphi \implies \psi$  if there exist  $\varphi_1 \in V_T^*$ ,  $\varphi_2 \in (V_N \cup V_T)^*$ , and  $A \in V_N$ 
  - $\varphi \equiv \varphi_1 A \varphi_2$
  - $A \to \alpha \in P$
  - $\psi \equiv \varphi_1 \alpha \varphi_2$

replace leftmost nonterminal

- $\varphi \implies_{rm} \psi$  if there exist  $\varphi_2 \in V_T^*$ ,  $\varphi_1 \in (V_N \cup V_T)^*$ , and  $A \in V_N$ 
  - $\varphi \equiv \varphi_1 A \varphi_2$
  - $A \rightarrow \alpha \in P$
  - $\psi \equiv \varphi_1 \alpha \varphi_2$

replace rightmost nonterminal

• 
$$\varphi \stackrel{*}{\Longrightarrow} \psi, \varphi \stackrel{*}{\longrightarrow} \psi$$
 are defined as usual

#### **Ambiguous Grammars**

- A grammar that has (equivalently)
  - two leftmost derivations for the same string,
  - two rightmost derivations for the same string,
  - two syntax trees for the same string.

#### is called ambiguous.

- It is undecidable if a grammar is ambiguous or not
- There are unambiguous grammars (whose languages) cannot be accepted with a deterministic push-down automaton
- For parsing, we're interested in grammars that can be accepted with a deterministic push-down automaton