

## Bottom-Up Syntax Analysis

Wilhelm/Seidl/Hack: Compiler Design — Syntactic and  
Semantic Analysis, Chapter 3

Reinhard Wilhelm  
Universität des Saarlandes  
wilhelm@cs.uni-saarland.de

and

Mooly Sagiv  
Tel Aviv University  
sagiv@math.tau.ac.il

# Subjects

- ▶ Functionality and Method
- ▶ Example Parsers
- ▶ Derivation of a Parser
- ▶ Conflicts
- ▶  $LR(k)$ -Grammars
- ▶  $LR(1)$ -Parser Generation
- ▶ Bison

## Bottom-Up Syntax Analysis

**Input:** A stream of symbols (tokens)

**Output:** A syntax tree or error

**Method:** **until** input consumed or error **do**

- ▶ **shift** next symbol or **reduce** by some production
- ▶ **decide** what to do by **looking  $k$  symbols ahead**

### Properties

- ▶ Constructs the syntax tree in a **bottom-up manner**
- ▶ Finds the **rightmost** derivation (in reversed order)
- ▶ Reports error as soon as the already read part of the input is not a prefix of a program (valid prefix property)

Parsing  $aabb$  in the grammar  $G_{ab}$  with  $S \rightarrow aSb | \epsilon$

Stack	Input	Action	Dead ends
\$	$aabb\#$	shift	reduce $S \rightarrow \epsilon$
$\$a$	$abb\#$	shift	reduce $S \rightarrow \epsilon$
$\$aa$	$bb\#$	reduce $S \rightarrow \epsilon$	shift
$\$aaS$	$bb\#$	shift	reduce $S \rightarrow \epsilon$
$\$aaSb$	$b\#$	reduce $S \rightarrow aSb$	shift, reduce $S \rightarrow \epsilon$
$\$aS$	$b\#$	shift	reduce $S \rightarrow \epsilon$
$\$aSb$	$\#$	reduce $S \rightarrow aSb$	reduce $S \rightarrow \epsilon$
$\$S$	$\#$	accept	reduce $S \rightarrow \epsilon$

Issues:

- ▶ Shift vs. Reduce
- ▶ Reduce  $A \rightarrow \beta$ , Reduce  $B \rightarrow \alpha\beta$

Parsing  $aa$  in the grammar  $S \rightarrow AB, S \rightarrow A, A \rightarrow a, B \rightarrow a$

Stack	Input	Action	Dead ends
\$	$aa\#$	shift	
$\$a$	$a\#$	reduce $A \rightarrow a$	reduce $B \rightarrow a$ , shift
$\$A$	$a\#$	shift	reduce $S \rightarrow A$
$\$Aa$	$\#$	reduce $B \rightarrow a$	reduce $A \rightarrow a$
$\$AB$	$\#$	reduce $S \rightarrow AB$	
$\$S$	$\#$	accept	

Issues:

- ▶ Shift vs. Reduce
- ▶ Reduce  $A \rightarrow \beta$ , Reduce  $B \rightarrow \alpha\beta$

## Shift-Reduce Parsers

- ▶ The bottom-up Parser is a shift-reduce parser, each step is
  - a **shift**: consuming the next input symbol or
  - a **reduction**: reducing a suffix of the stack contents by some production.
- ▶ the problem is to decide when to stop shifting and make a reduction instead.
- ▶ a next right side to reduce is called a “handle”,
  - reducing too early**: dead end,
  - reducing too late**: burying the handle.

## LR-Parsers – Deterministic Shift–Reduce Parsers

Parser decides whether to shift or to reduce based on

- ▶ the contents of the stack and
- ▶  $k$  symbols lookahead into the rest of the input

Property of the LR–Parser: it suffices to consider the topmost state on the stack instead of the whole stack contents.

## From $P_G$ to LR-Parsers for $G$

- ▶  $P_G$  has non-deterministic choice of expansions,
- ▶ LL-parsers eliminate non-determinism by looking ahead at expansions,
- ▶ LR-parsers pursue all possibilities in parallel (corresponds to the subset-construction in **NFSM**  $\rightarrow$  **DFSM**).

### Derivation

1. Characteristic finite-state machine of  $G$ , a description of  $P_G$
2. Make deterministic
3. Interpret as control of a push down automaton
4. Check for “inadequate” states



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## Characteristic Finite-State Machine of $G$

NFSM  $ch(G) = (Q_c, V_c, \Delta_c, q_c, F_c)$  — the **characteristic finite-state machine** of  $G$  :

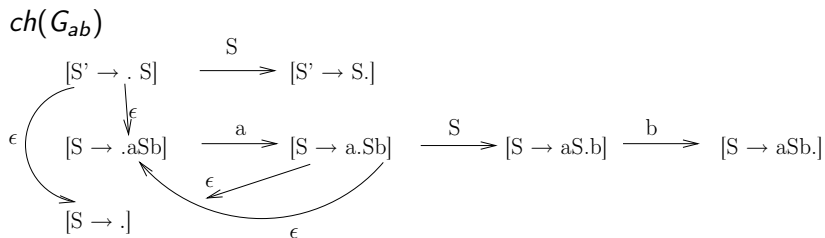
- ▶  $Q_c = It_G$  — states: the items of  $G$
- ▶  $V_c = V_T \cup V_N$  — input alphabet: the sets of terminal and non-terminal symbols
- ▶  $q_c = [S' \rightarrow .S]$  — start state
- ▶  $F_c = \{[X \rightarrow \alpha.] \mid X \rightarrow \alpha \in P\}$  — final states: the complete items
- ▶  $\Delta_c =$   
 $\{([X \rightarrow \alpha.Y\beta], Y, [X \rightarrow \alpha Y.\beta]) \mid X \rightarrow \alpha Y\beta \in P \text{ and } Y \in V_N \cup V_T\} \cup$   
 $\{([X \rightarrow \alpha.Y\beta], \varepsilon, [Y \rightarrow .\gamma]) \mid X \rightarrow \alpha Y\beta \in P \text{ and } Y \rightarrow \gamma \in P\}$

Item PDA for  $G_{ab}$ :  $S \rightarrow aSb \mid \epsilon$

$P_{G_{ab}}$

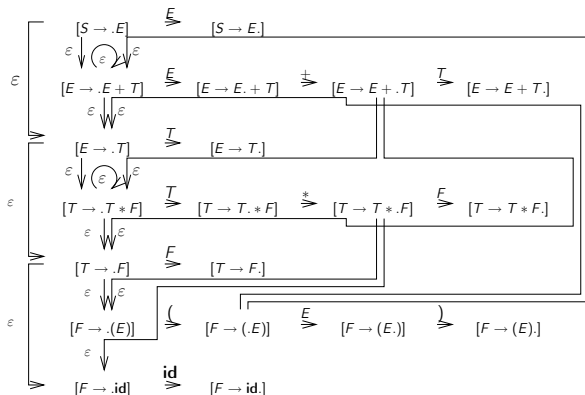
Stack	Input	New Stack
$[S' \rightarrow .S]$	$\epsilon$	$[S' \rightarrow .S][S \rightarrow .aSb]$
$[S' \rightarrow .S]$	$\epsilon$	$[S' \rightarrow .S][S \rightarrow .]$
$[S \rightarrow .aSb]$	$a$	$[S \rightarrow a.Sb]$
$[S \rightarrow a.Sb]$	$\epsilon$	$[S \rightarrow a.Sb][S \rightarrow .aSb]$
$[S \rightarrow a.Sb]$	$\epsilon$	$[S \rightarrow a.Sb][S \rightarrow .]$
$[S \rightarrow aS.b]$	$b$	$[S \rightarrow aSb.]$
$[S \rightarrow a.Sb][S \rightarrow .]$	$\epsilon$	$[S \rightarrow aSb.]$
$[S \rightarrow a.Sb][S \rightarrow aSb.]$	$\epsilon$	$[S \rightarrow aSb.]$
$[S' \rightarrow .S][S \rightarrow aSb.]$	$\epsilon$	$[S' \rightarrow S.]$
$[S' \rightarrow .S][S \rightarrow .]$	$\epsilon$	$[S' \rightarrow S.]$

## The Characteristic NFSM



Characteristic NFSM for  $G_0$ 

$S \rightarrow E$   
 $E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid \text{id}$



## Interpreting $ch(G)$

State of  $ch(G)$  is the *current* state of  $P_G$ , i.e. the state on top of  $P_G$ 's stack. Adding actions to the transitions and states of  $ch(G)$  to describe  $P_G$ :

**$\epsilon$ -transitions:** push new state of  $ch(G)$  onto stack of  $P_G$ : new current state.

**reading transitions:** shifting transitions of  $P_G$ : replace current state of  $P_G$  by the shifted one.

**final state:** Actions in  $P_G$ :

- ▶ pop final state [ $X \rightarrow \alpha.$ ] from the stack,
- ▶ do a transition from the new topmost state under  $X$ ,
- ▶ push the new state onto the stack.

## The Handle Revisited

- ▶ The bottom up-Parser is a shift-reduce-parser, each step is
  - a **shift**: consuming the next input symbol,  
making a transition under it from the current state,  
pushing the new state onto the stack.
  - a **reduction**: reducing a suffix of the stack contents by some production,  
making a transition under the left side non-terminal from the  
new current state,  
pushing the new state.
- ▶ the problem is the localization of the “handle”, the next right side to reduce.
  - reducing too early**: dead end,
  - reducing too late**: burying the handle.

## Handles and Reliable Prefixes

Some Abbreviations:

RMD – rightmost derivation

RSF – right sentential form

$S' \xrightarrow{rm}^* \beta Xu \xrightarrow{rm} \beta \alpha u$  – a RMD of cfg  $G$ .

- ▶  $\alpha$  is a **handle** of  $\beta \alpha u$ .  
The part of a RSF next to be reduced.
- ▶ Each prefix of  $\beta \alpha$  is a **reliable prefix**.  
A prefix of a RSF stretching at most up to the end of the handle,  
i.e. reductions if possible then only at the end.



Examples in  $G_0$ 

RSF ( <u>handle</u> )	reliable prefix	Reason
$E + \underline{F}$	$E, E+, E + F$	$S \xRightarrow{rm} E \xRightarrow{rm} E + T \xRightarrow{rm} E + F$
$T * \underline{id}$	$T, T*, T * id$	$S \xRightarrow[rm]{3} T * F \xRightarrow{rm} T * id$
$\underline{F} * id$	$F$	$S \xRightarrow[rm]{4} T * id \xRightarrow{rm} F * id$
$T * \underline{id} + id$	$T, T*, T * id$	$S \xRightarrow[rm]{3} T * F \xRightarrow{rm} T * id$

## Valid Items

$[X \rightarrow \alpha.\beta]$  is **valid** for the reliable prefix  $\gamma\alpha$ , if there exists a RMD  $S' \xrightarrow{rm}^* \gamma X w \xrightarrow{rm} \gamma\alpha\beta w$ .

An item valid for a reliable prefix gives one interpretation of the parsing situation.

Some reliable prefixes of  $G_0$

Viab Prefix	Valid Items	Reason	$\gamma$	$w$	$X$	$\alpha$	$\beta$
$E+$	$[E \rightarrow E + .T]$	$S \xrightarrow{rm} E \xrightarrow{rm} E + T$	$\epsilon$	$\epsilon$	$E$	$E+$	$T$
	$[T \rightarrow .F]$	$S \xrightarrow{rm}^* E + T \xrightarrow{rm} E + F$	$E+$	$\epsilon$	$T$	$\epsilon$	$F$
	$[F \rightarrow .id]$	$S \xrightarrow{rm}^* E + F \xrightarrow{rm} E + id$	$E+$	$\epsilon$	$F$	$\epsilon$	<b>id</b>
$(E + ($	$[F \rightarrow (.E)]$	$S \xrightarrow{rm}^* (E + F)$ $\xrightarrow{rm} (E + (E))$	$(E+$	$)$	$F$	$($	$E)$

## Valid Items and Parsing Situations

Given some input string  $xuvw$ .

The RMD

$$S' \xrightarrow[rm]{*} \gamma X w \xrightarrow[rm]{} \gamma \alpha \beta w \xrightarrow[rm]{*} \gamma \alpha v w \xrightarrow[rm]{*} \gamma u v w \xrightarrow[rm]{*} x u v w$$

describes the following sequence of partial derivations:

$$\gamma \xrightarrow[rm]{*} x \quad \alpha \xrightarrow[rm]{*} u \quad \beta \xrightarrow[rm]{*} v \quad X \xrightarrow[rm]{} \alpha \beta$$

$$S' \xrightarrow[rm]{*} \gamma X w$$

executed by the bottom-up parser in this order.

The valid item  $[X \rightarrow \alpha \cdot \beta]$  for the reliable prefix  $\gamma \alpha$  describes the situation after partial derivation 2, that is, for RSF  $\gamma \alpha v w$

## Theorems

$$ch(G) = (Q_c, V_c, \Delta_c, q_c, F_c)$$

### Theorem

*For each reliable prefix there is at least one valid item.*

Every parsing situation is described by at least one valid item.

### Theorem

*Let  $\gamma \in (V_T \cup V_N)^*$  and  $q \in Q_c$ .*

*$(q_c, \gamma) \stackrel{*}{\vdash}_{ch(G)} (q, \varepsilon)$  iff  $\gamma$  is a reliable prefix and  $q$  is a valid item for  $\gamma$ .*

A reliable prefix brings  $ch(G)$  from its initial state to all its valid items.

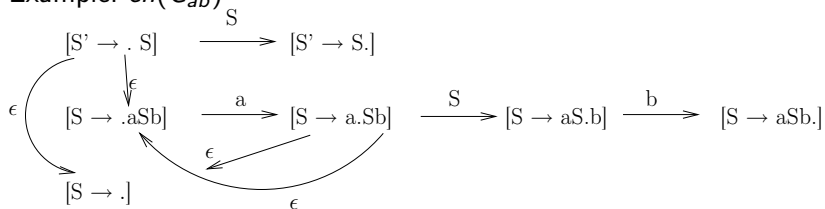
### Theorem

*The language of reliable prefixes of a cfg is regular.*

Making  $ch(G)$  deterministic

Apply **NFSM**  $\rightarrow$  **DFSM** to  $ch(G)$ : Result  $LR_0(G)$ .

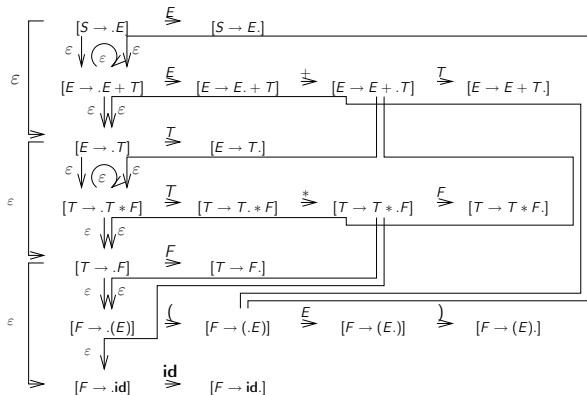
Example:  $ch(G_{ab})$

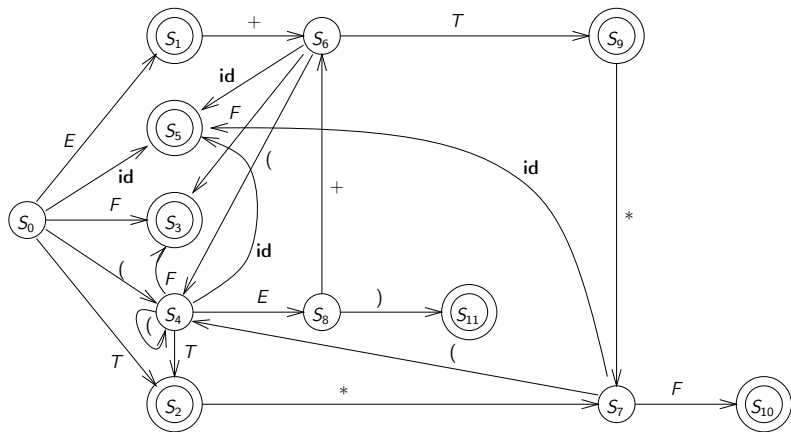


$LR_0(G_{ab})$ :

Characteristic NFSM for  $G_0$ 

$S \rightarrow E$   
 $E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid \mathbf{id}$



$LR_0(G_0)$ 

The States of  $LR_0(G_0)$  as Sets of Items

$S_0 = \{$	$[S \rightarrow \cdot E],$	$S_5 = \{$	$[F \rightarrow \text{id} \cdot]$
	$[E \rightarrow \cdot E + T],$		
	$[E \rightarrow \cdot T],$	$S_6 = \{$	$[E \rightarrow E + \cdot T],$
	$[T \rightarrow \cdot T * F],$		$[T \rightarrow \cdot T * F],$
	$[T \rightarrow \cdot F],$		$[T \rightarrow \cdot F],$
	$[F \rightarrow \cdot (E)],$		$[F \rightarrow \cdot (E)],$
	$[F \rightarrow \cdot \text{id}]$		$[F \rightarrow \cdot \text{id}]$
$S_1 = \{$	$[S \rightarrow E \cdot],$	$S_7 = \{$	$[T \rightarrow T * \cdot F],$
	$[E \rightarrow E \cdot + T]\}$		$[F \rightarrow \cdot (E)],$
			$[F \rightarrow \cdot \text{id}]$
$S_2 = \{$	$[E \rightarrow T \cdot],$	$S_8 = \{$	$[F \rightarrow (E \cdot)],$
	$[T \rightarrow T \cdot * F]\}$		$[E \rightarrow E \cdot + T]\}$
$S_3 = \{$	$[T \rightarrow F \cdot]\}$	$S_9 = \{$	$[E \rightarrow E + T \cdot],$
			$[T \rightarrow T \cdot * F]\}$
$S_4 = \{$	$[F \rightarrow \cdot (E)],$	$S_{10} = \{$	$[T \rightarrow T * F \cdot]\}$
	$[E \rightarrow \cdot E + T],$		
	$[E \rightarrow \cdot T],$	$S_{11} = \{$	$[F \rightarrow (E) \cdot]$
	$[T \rightarrow \cdot T * F]$		
	$[T \rightarrow \cdot F]$		
	$[F \rightarrow \cdot (E)]$		
	$[F \rightarrow \cdot \text{id}]$		



## Theorems

$ch(G) = (Q_c, V_c, \Delta_c, q_c, F_c)$  and  $LR_0(G) = (Q_d, V_N \cup V_T, \Delta, q_d, F_d)$

### Theorem

Let  $\gamma$  be a reliable prefix and  $p(\gamma) \in Q_d$  be the uniquely determined state, into which  $LR_0(G)$  transfers out of the initial state by reading  $\gamma$ , i.e.,  $(q_d, \gamma) \stackrel{*}{\vdash}_{LR_0(G)} (p(\gamma), \varepsilon)$ . Then

- (a)  $p(\varepsilon) = q_d$
- (b)  $p(\gamma) = \{q \in Q_c \mid (q_c, \gamma) \stackrel{*}{\vdash}_{ch(G)} (q, \varepsilon)\}$
- (c)  $p(\gamma) = \{i \in It_G \mid i \text{ valid for } \gamma\}$
- (d) Let  $\Gamma$  the (in general infinite) set of all reliable prefixes of  $G$ . The mapping  $p : \Gamma \rightarrow Q_d$  defines a finite partition on  $\Gamma$ .
- (e)  $L(LR_0(G))$  is the set of reliable prefixes of  $G$  that end in a handle.

$G_0$ 

$\gamma = E + F$  is a reliable prefix of  $G_0$ .

With the state  $p(\gamma) = S_3$  are also associated:

$F, (F, ((F, (((F, \dots$

$T * (F, T * ((F, T * (((F, \dots$

$E + F, E + (F, E + ((F, \dots$

Regard  $S_6$  in  $LR_0(G_0)$ .

It consists of all valid items for the reliable prefix  $E+$ ,

i.e., the items

$[E \rightarrow E + .T], [T \rightarrow .T * F], [T \rightarrow .F], [F \rightarrow .id], [F \rightarrow .(E)].$

Reason:

$E+$  is prefix of the RSF  $E + T$  ;

$S \xRightarrow{rm} E \xRightarrow{rm} E + T \xRightarrow{rm} E + F \xRightarrow{rm} E + id$

Therefore  $[E \rightarrow E + .T] \quad [T \rightarrow .F] \quad [F \rightarrow .id]$  are valid.

## What the $LR_0(G)$ describes

$LR_0(G)$  interpreted as a PDA  $P_0(G) = (\Gamma, V_T, \Delta, q_0, \{q_f\})$

$\Gamma$ , (stack alphabet): the set  $Q_d$  of states of  $LR_0(G)$ .

$q_0 = q_d$  (initial state): in the stack of  $P_0(G)$  initially.

$q_f = \{[S' \rightarrow S.]\}$  the final state of  $LR_0(G)$ ,

$\Delta \subseteq \Gamma^* \times (V_T \cup \{\varepsilon\}) \times \Gamma^*$  (transition relation):

Defined as follows:

## $LR_0(G)$ 's Transition Relation

**shift:**  $(q, a, q, \delta_d(q, a)) \in \Delta$ , if  $\delta_d(q, a)$  defined.

Read next input symbol  $a$  and push successor state of  $q$  under  $a$  (item  $[X \rightarrow \dots .a \dots] \in q$ ).

**reduce:**  $(q, q_1 \dots q_n, \varepsilon, q, \delta_d(q, X)) \in \Delta$ ,

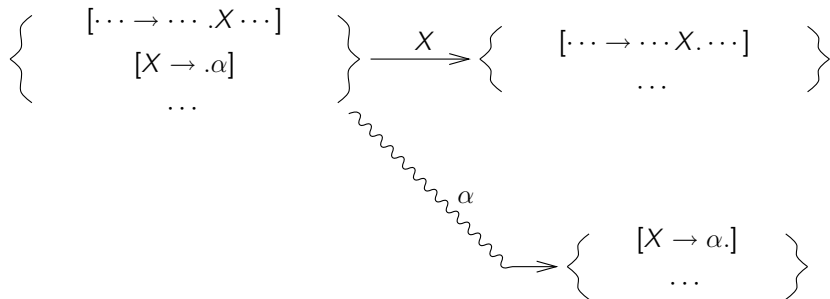
if  $[X \rightarrow \alpha.] \in q_n$ ,  $|\alpha| = n$ .

Remove  $|\alpha|$  entries from the stack.

Push the successor of the new topmost state under  $X$  onto the stack.

Note the difference in the stacking behavior:

- ▶ the Item PDA  $P_G$  keeps on the stack only one item for each production under analysis,
- ▶ the PDA described by the  $LR_0(G)$  keeps  $|\alpha|$  states on the stack for a production  $X \rightarrow \alpha\beta$  represented with item  $[X \rightarrow \alpha.\beta]$

Reduction in PDA  $P_0(G)$ 

## Some observations and recollections

- ▶ also works for reductions of  $\epsilon$ ,
- ▶ each state has a unique entry symbol,
- ▶ the stack contents uniquely determine a reliable prefix,
- ▶ current state (topmost) is the state associated with this reliable prefix,
- ▶ current state consists of all items valid for this reliable prefix.

## Non-determinism in $P_0(G)$

$P_0(G)$  is non-deterministic if either

**Shift–reduce conflict:** There are shift as well as reduce transitions out of one state, or

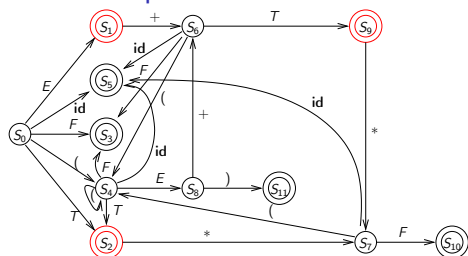
**Reduce–reduce conflict:** There are more than one reduce transitions from one state.

**States with a shift–reduce conflict** have at least one read item  $[X \rightarrow \alpha . a \beta]$  and at least one complete item  $[Y \rightarrow \gamma .]$ .

**States with a reduce–reduce conflict** have at least two complete items  $[Y \rightarrow \alpha .]$ ,  $[Z \rightarrow \beta .]$ .

A state with a conflict is **inadequate**.

## Some Inadequate States



$LR_0(G_0)$  has three inadequate states,  $S_1$ ,  $S_2$  and  $S_9$ .

$S_1$ : Can reduce  $E$  to  $S$  (complete item  $[S \rightarrow E.]$ ) or read "+" (shift-item  $[E \rightarrow E. + T]$ );

$S_2$ : Can reduce  $T$  to  $E$  (complete item  $[E \rightarrow T.]$ ) or read "\*" (shift-item  $[T \rightarrow T. * F]$ );

$S_9$ : Can reduce  $E + T$  to  $E$  (complete item  $[E \rightarrow E + T.]$ ) or read "\*" (shift-item  $[T \rightarrow T. * F]$ ).



## Direct Construction of the $LR_0(G)$

**Algorithm**  $LR_0$ :

**Input:** cfg  $G = (V'_N, V_T, P', S')$

**Output:**  $LR_0(G) = (Q_d, V_N \cup V_T, q_d, \delta_d, F_d)$

**Method:** The states and the transitions of the  $LR_0(G)$  are constructed using the following three functions *Start*, *Closure* and *Succ*  
 $F_d$  – set of states with at least one complete item

**var**  $q, q'$ : set of item;  
 $Q_q$ : set of set of item;  
 $\delta_d$ : set of item  $\times (V_N \cup V_T) \rightarrow$  set of item;

```

function Start: set of item; return( $\{[S' \rightarrow .S]\}$ );
function Closure( $s$  : set of item) : set of item;
    (*  $\epsilon$ -Succ states of algorithm NFSM  $\rightarrow$  DFSM *)
begin  $q := s$ ;
    while exists  $[X \rightarrow \alpha.Y\beta]$  in  $q$  and  $Y \rightarrow \gamma$  in  $P$ 
        and  $[Y \rightarrow .\gamma]$  not in  $q$  do
        add  $[Y \rightarrow .\gamma]$  to  $q$ 
    od;
    return( $q$ )
end ;
function Succ( $s$  : set of item,  $Y : V_N \cup V_T$ ) : set of item;
    return( $\{[X \rightarrow \alpha Y.\beta] \mid [X \rightarrow \alpha.Y\beta] \in s\}$ );

```

**begin**

$Q_d := \{ \text{Closure}(\text{Start}) \};$  (\* start state \*)

$\delta_d := \emptyset;$

**foreach**  $q$  **in**  $Q_d$  **and**  $X$  **in**  $V_N \cup V_T$  **do**

**let**  $q' = \text{Closure}(\text{Succ}(q, X))$  **in**

**if**  $q' \neq \emptyset$  (\*  $X$ -successor exists \*)

**then**

**if**  $q'$  **not in**  $Q_d$  (\* new state created \*)

**then**  $Q_d := Q_d \cup \{q'\}$

**fi;**

$\delta_d := \delta_d \cup \{q \xrightarrow{X} q'\}$  (\* new transition \*)

**fi**

**tel**

**od**

**end**

## LR( $k$ )-Grammars

$G$  is LR( $k$ )-Grammar iff in each RMD

$$S' = \alpha_0 \xrightarrow{rm} \alpha_1 \xrightarrow{rm} \alpha_2 \cdots \xrightarrow{rm} \alpha_m = v$$

and in each RSF  $\alpha_i = \gamma\beta w$  the handle,  $\beta$ , can be identified by regarding the prefix  $\gamma\beta$  of  $\alpha_i$  and at most  $k$  symbols after the handle,  $\beta$ . I.e., the splitting of  $\alpha_i$  into  $\gamma\beta w$  and the production  $X \rightarrow \beta$ , such that  $\alpha_{i-1} = \gamma X w$ , is uniquely determined by  $\gamma\beta$  and  $k : w$ .

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## LR( $k$ )-Grammars

**Definition:** A cfg  $G$  is an LR( $k$ )-Grammar, iff

$$S' \xrightarrow[rm]{*} \alpha X w \xrightarrow[rm]{} \alpha \beta w \quad \text{and}$$

$$S' \xrightarrow[rm]{*} \gamma Y x \xrightarrow[rm]{} \alpha \beta y \quad \text{and}$$

$k : w = k : y$  implies

that  $\alpha = \gamma$  and  $X = Y$  and  $x = y$ .

## Example 1

Cfg  $G_{nLL}$  with the productions

$$S \rightarrow A \mid B$$

$$A \rightarrow aAb \mid 0$$

$$B \rightarrow aBbb \mid 1$$

- ▶  $L(G) = \{a^n 0 b^n \mid n \geq 0\} \cup \{a^n 1 b^{2n} \mid n \geq 0\}$ .
- ▶  $G_{nLL}$  is not  $LL(k)$  for arbitrary  $k$ , but  $G_{nLL}$  is LR(0)-grammar.
- ▶ The RSFs of  $G_{nLL}$  (handle)
  - ▶  $S, \underline{A}, \underline{B},$
  - ▶  $a^n \underline{aBbbb} b^{2n}, a^n \underline{aAbb} b^n,$
  - ▶  $a^n a \underline{0} b b^n, a^n a \underline{1} b b b^{2n}.$

## Example 1

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$$S \rightarrow A \mid B$$

$$A \rightarrow aAb \mid 0$$

$$B \rightarrow aBbb \mid 1$$

- ▶  $L(G) = \{a^n 0 b^n \mid n \geq 0\} \cup \{a^n 1 b^{2n} \mid n \geq 0\}$ .
- ▶  $G_{nLL}$  is not LL( $k$ ) for arbitrary  $k$ , but  $G_{nLL}$  is LR(0)-grammar.
- ▶ The RSFs of  $G_{nLL}$  (handle)
  - ▶  $S, \underline{A}, \underline{B}$ ,
  - ▶  $a^n \underline{aBbb} b^{2n}, a^n \underline{aAb} b^n$ ,
  - ▶  $a^n a \underline{0} b b^n, a^n a \underline{1} b b b^{2n}$ .



## Example 1 (cont'd)

- ▶ Only  $a^n aAbb^n$  and  $a^n aBbbb^{2n}$  each allow 2 different reductions.

$$\begin{array}{l} \text{▶ reduce } \overbrace{a^n}^{\gamma} \overbrace{aAb}^{\beta} b^n \text{ to } a^n Ab^n: \text{ part of a RMD} \\ S \xrightarrow[rm]{*} a^n Ab^n \xrightarrow[rm]{} a^n aAbb^n, \end{array}$$

- ▶ reduce  $a^n aAbb^n$  to  $a^n aSbb^n$ : not part of any RMD.
- ▶ The prefix  $a^n$  of  $a^n Ab^n$  uniquely determines, whether
  - ▶  $A$  is the handle ( $n = 0$ ), or
  - ▶ whether  $aAb$  is the handle ( $n > 0$ ).
- ▶ The RSFs  $a^n Bb^{2n}$  are treated analogously.

## Example 2

Cfg  $G_1$  with

$S \rightarrow aAc$

$A \rightarrow Abb \mid b$

- ▶  $L(G_1) = \{ab^{2n+1}c \mid n \geq 0\}$
- ▶  $G_1$  is LR(0)-grammar.

RSF  $\overbrace{a}^{\gamma} \overbrace{Abb}^{\beta} b^{2n}c$ : only legal reduction is to  $aAb^{2n}c$ ,  
uniquely determined by the prefix  $aAbb$ .

RSF  $\overbrace{a}^{\gamma} \overbrace{b}^{\beta} b^{2n}c$ :  $b$  is the handle,  
uniquely determined by the prefix  $ab$ .

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RSF  $\overset{\gamma}{\underbrace{a}} \overset{\beta}{\underbrace{Abb}} b^{2n}c$ : only legal reduction is to  $aAb^{2n}c$ ,  
uniquely determined by the prefix  $aAbb$ .

RSF  $\overset{\gamma}{\underbrace{a}} \overset{\beta}{\underbrace{b}} b^{2n}c$ :  $b$  is the handle,  
uniquely determined by the prefix  $ab$ .

## Example 3

Cfg  $G_2$  with

$S \rightarrow aAc$

$A \rightarrow bbA \mid b.$

- ▶  $L(G_2) = L(G_1)$
- ▶  $G_2$  is LR(1)-grammar.
- ▶ Critical RSF  $ab^n w$ .
  - ▶ 1 :  $w = b$  implies, handle in  $w$ ;
  - ▶ 1 :  $w = c$  implies, last  $b$  in  $b^n$  is handle.

## Example 3

Cfg  $G_2$  with

$S \rightarrow aAc$

$A \rightarrow bbA \mid b.$

- ▶  $L(G_2) = L(G_1)$
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- ▶ Critical RSF  $ab^n w$ .
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  - ▶ 1 :  $w = c$  implies, last  $b$  in  $b^n$  is handle.

## Example 4

Cfg  $G_3$  with  $S \rightarrow aAc$        $A \rightarrow bAb \mid b$ .

- ▶  $L(G_3) = L(G_1)$ ,
- ▶  $G_3$  is not LR( $k$ )-grammar for arbitrary  $k$ .

Choose an arbitrary  $k$ .

Regard two RMDs

$$S \xrightarrow[rm]{*} ab^n Ab^n c \xRightarrow{rm} ab^n bb^n c$$

$$S \xrightarrow[rm]{*} ab^{n+1} Ab^{n+1} c \xRightarrow{rm} ab^{n+1} bb^{n+1} c \quad \text{where } n \geq k$$

Choose  $\alpha = ab^n, \beta = b, \gamma = ab^{n+1}, w = b^n c, y = b^{n+2} c$ .

It holds  $k : w = k : y = b^k$ .

$\alpha \neq \gamma$  implies that  $G_3$  is not an LR( $k$ )-grammar.

## Example 4

Cfg  $G_3$  with  $S \rightarrow aAc$        $A \rightarrow bAb \mid b$ .

- ▶  $L(G_3) = L(G_1)$ ,
- ▶  $G_3$  is not LR( $k$ )-grammar for arbitrary  $k$ .

Choose an arbitrary  $k$ .

Regard two RMDs

$$S \xrightarrow[rm]{*} ab^n Ab^n c \xrightarrow[rm]{} ab^n bb^n c$$

$$S \xrightarrow[rm]{*} ab^{n+1} Ab^{n+1} c \xrightarrow[rm]{} ab^{n+1} bb^{n+1} c \quad \text{where } n \geq k$$

Choose  $\alpha = ab^n, \beta = b, \gamma = ab^{n+1}, w = b^n c, y = b^{n+2} c$ .

It holds  $k : w = k : y = b^k$ .

$\alpha \neq \gamma$  implies that  $G_3$  is not an LR( $k$ )-grammar.

## Adding Lookahead

Lookahead will be used to resolve conflicts.

- ▶  $[X \rightarrow \alpha_1.\alpha_2, L]$  – **LR(k)-item**,  
if  $X \rightarrow \alpha_1\alpha_2 \in P$  and  $L \subseteq V_T^{\leq k}$ .
- ▶  $[X \rightarrow \alpha_1.\alpha_2]$  – **core** of  $[X \rightarrow \alpha_1.\alpha_2, L]$ ,
- ▶  $L$  – the **lookahead set** of  $[X \rightarrow \alpha_1.\alpha_2, L]$ .
- ▶  $[X \rightarrow \alpha_1.\alpha_2, L]$  is **valid** for a reliable prefix  $\alpha\alpha_1$ , if  

$$S' \# \xrightarrow{rm}^* \alpha X w \xrightarrow{rm} \alpha \alpha_1 \alpha_2 w$$
 and  

$$L = \{u \mid S' \# \xrightarrow{rm}^* \alpha X w \xrightarrow{rm} \alpha \alpha_1 \alpha_2 w \text{ and } u = k : w\}$$

The context-free items can be regarded as LR(0)-items if  $[X \rightarrow \alpha_1.\alpha_2, \{\varepsilon\}]$  is identified with  $[X \rightarrow \alpha_1.\alpha_2]$ .



Example from  $G_0$ 

- (1)  $[E \rightarrow E + .T, \{ \}, +, \# \}$  is a valid LR(1)-item for  $(E+$   
 (2)  $[E \rightarrow T., \{ * \}]$  is not a valid LR(1)-item for  
 any reliable prefix

Reason:

(1)  $S' \xrightarrow{*}_{rm} (E) \xrightarrow{rm} (E + T) \xrightarrow{*}_{rm} (E + T + \mathbf{id})$  where

$$\alpha = (, \alpha_1 = E+, \alpha_2 = T, u = +, w = +\mathbf{id})$$

(2) The string  $E*$  can occur in no RMD.

## LR-Parser

Take their decisions (to shift or to reduce) by consulting

- ▶ the reliable prefix  $\gamma$  in the stack, actually the by  $\gamma$  uniquely determined state (on top of the stack),
- ▶ the next  $k$  symbols of the remaining input.
- ▶ Recorded in an **action**-table.
- ▶ The entries in this table are:
 

<i>shift</i> :	read next input symbol;
<i>reduce</i> ( $X \rightarrow \alpha$ ):	reduce by production $X \rightarrow \alpha$ ;
<i>error</i> :	report error
<i>accept</i> :	report successful termination.

A **goto**-table records the transition function of the  $LR_0(G)$ .

## The action- and the goto-table

action-table

 $V_T^{\leq k}$  $u$ 

	$u$
$Q$	
$q$	<div style="border: 1px solid black; padding: 10px; display: inline-block;">           parser-action for <math>(q, u)</math> </div>

goto-table

 $V_N \cup V_T$  $X$ 

	$X$
$Q$	
$q$	<div style="border: 1px solid black; padding: 10px; display: inline-block;"> <math>\delta_d(q, X)</math> </div>

Parser Table for  $S \rightarrow aSb|\epsilon$ 

Action-table

state	sets of items	symbols		
		a	b	#
0	$\left\{ \begin{array}{l} [S' \rightarrow .S], \\ [S \rightarrow .aSb], \\ [S \rightarrow \cdot] \end{array} \right\}$	s		$r(S \rightarrow \epsilon)$
1	$\left\{ \begin{array}{l} [S \rightarrow a.Sb], \\ [S \rightarrow .aSb], \\ [S \rightarrow \cdot] \end{array} \right\}$	s	$r(S \rightarrow \epsilon)$	
2	$\{[S \rightarrow aS.b]\}$		s	
3	$\{[S \rightarrow aSb.\]\}$		$r(S \rightarrow aSb)$	$r(S \rightarrow aSb)$
4	$\{[S' \rightarrow S.\]\}$			accept

Goto-table

state	symbol			
	a	b	#	S
0	1			4
1	1			2
2		3		
3				
4				

Parsing *aabb*

Stack	Input	Action
\$ 0	<i>aabb</i> #	shift 1
\$ 0 1	<i>abb</i> #	shift 1
\$ 0 1 1	<i>bb</i> #	reduce $S \rightarrow \epsilon$
\$ 0 1 1 2	<i>bb</i> #	shift 3
\$ 0 1 1 2 3	<i>b</i> #	reduce $S \rightarrow aSb$
\$ 0 1 2	<i>b</i> #	shift 3
\$ 0 1 2 3	#	reduce $S \rightarrow aSb$
\$ 0 4	#	accept

## Compressed Representation

- ▶ Integrate the terminal columns of the goto-table into the action-table.
- ▶ Combine **shift** entry for  $q$  and  $a$  with  $\delta_d(q, a)$ .
- ▶ Interpret  $\text{action}[q, a] = \mathbf{shift} \ p$  as read  $a$  and push  $p$ .

Compressed Parser table for  $S \rightarrow aSb|\epsilon$ 

st.	sets of items	symbols			goto
		<i>a</i>	<i>b</i>	#	<i>S</i>
0	$\left\{ \begin{array}{l} [S' \rightarrow .S], \\ [S \rightarrow .aSb], \\ [S \rightarrow .] \end{array} \right\}$	s1		$rS \rightarrow \epsilon$	4
1	$\left\{ \begin{array}{l} [S \rightarrow a.Sb], \\ [S \rightarrow .aSb], \\ [S \rightarrow .] \end{array} \right\}$	s1	$rS \rightarrow \epsilon$		2
2	$\{[S \rightarrow aS.b]\}$		s3		
3	$\{[S \rightarrow aSb.]\}$		$rS \rightarrow aSb$	$rS \rightarrow aSb$	
4	$\{[S' \rightarrow S.]\}$			accept	

Compressed Parser table for  
 $S \rightarrow AB, S \rightarrow A, A \rightarrow a, B \rightarrow a$

s	sets of items	symbols		goto		
		a	#	A	B	S
0	$\left\{ \begin{array}{l} [S' \rightarrow .S], \\ [S \rightarrow .AB], \\ [S \rightarrow .A], \\ [A \rightarrow .a] \end{array} \right\}$	s1		2		5
1	$\{[A \rightarrow a.]\}$	rA → a	rA → a			
2	$\left\{ \begin{array}{l} [S \rightarrow A.B], \\ [S \rightarrow A.], \\ [B \rightarrow .a] \end{array} \right\}$	s3	rS → A		4	
3	$\{[B \rightarrow a.]\}$		rB → a			
4	$\{[S \rightarrow AB.]\}$		rS → AB			
5	$\{[S' \rightarrow S.]\}$		a			



Parsing  $aa$ 

Stack	Input	Action
\$ 0	$aa\#$	shift 1
\$ 0 1	$a\#$	reduce $A \rightarrow a$
\$ 0 2	$a\#$	shift 3
\$ 0 2 3	$\#$	reduce $B \rightarrow a$
\$ 0 2 4	$\#$	reduce $S \rightarrow AB$
\$ 0 5	$\#$	accept

## Algorithm LR(1)-PARSER

```
type state = set of item;  
var lookahead: symbol;  
    (* the next not yet consumed input symbol *)  
    S : stack of state;  
proc scan;  
    (* reads the next symbol into lookahead *)  
proc acc;  
    (* report successful parse; halt *)  
proc err(message: string);  
    (* report error; halt *)
```

```

scan; push(S, q_d);
forever do
  case action[top(S), lookahead] of
    shift: begin push(S, goto[top(S), lookahead]);
           scan
           end ;
    reduce (X → α) : begin
                     pop|α|(S); push(S, goto[top(S), X]);
                     output("X → α")
                     end ;
    accept: acc;
    error:  err("...");
  end case
od

```

## Construction of LR(1)-Parsers

Classes of LR-Parsers:

**canonical LR(1):** analyze languages of LR(1)-grammars,

**SLR(1):** use  $FOLLOW_1$  to resolve conflicts,  
size is size of LR(0)-parser,

**LALR(1):** refine lookahead sets compared to  $FOLLOW_1$ ,  
size is size of LR(0)-parser.  
BISON is an LALR(1)-parser generator.

## LR(1)-Conflicts

Set of LR(1)-items  $I$  has a

**shift-reduce-conflict:**

if exists at least one item  $[X \rightarrow \alpha.a\beta, L_1] \in I$   
and at least one item  $[Y \rightarrow \gamma., L_2] \in I$ ,  
and if  $a \in L_2$ .

**reduce-reduce-conflict:**

if it contains at least two items  $[X \rightarrow \alpha., L_1]$   
and  $[Y \rightarrow \beta., L_2]$  where  $L_1 \cap L_2 \neq \emptyset$ .

A state with a conflict is called **inadequate**.

## Construction of an LR(1)-Action Table

**Input:** set of LR(1)-states  $Q$  without inadequate states

**Output:** action-table

**Method:**

```

foreach  $q \in Q$  do
  foreach LR(1)-item  $[K, L] \in q$  do
    if  $K = [S' \rightarrow S.]$  and  $L = \{\#\}$ 
    then  $action[q, \#] := accept$ 
    elseif  $K = [X \rightarrow \alpha.]$ 
    then foreach  $a \in L$  do
       $action[q, a] := reduce(X \rightarrow \alpha)$ 
    od
    elseif  $K = [X \rightarrow \alpha.a\beta]$ 
    then  $action[q, a] := shift$ 
    fi
  od
od;

foreach  $q \in Q$  and  $a \in V_T$  such that  $action[q, a]$  is undef. do
   $action[q, a] := error$ 
od;

```

## Computing Canonical LR(1)-States

**Input:** cfg  $G$

**Output:** char. NFSM of a canonical LR(1)-Parser for  $G$ .

**Method:** The states and transitions are constructed using the functions *Start*, *Closure* and *Succ*.

**var**  $q, q'$  : set of item;

**var**  $Q$  : set of set of item;

**var**  $\delta$  : set of item  $\times (V_N \cup V_T) \rightarrow$  set of item;

**function** *Start*: set of item;

**return**( $\{[S' \rightarrow .S, \{\#\}]\}$ );

## Computing Canonical LR(1)-States

```

function Closure( $q$  : set of item) : set of item;
begin
  foreach [ $X \rightarrow \alpha.Y\beta, L$ ] in  $q$  and  $Y \rightarrow \gamma$  in  $P$  do
    if exist. [ $Y \rightarrow \cdot\gamma, L'$ ] in  $q$ 
      then replace [ $Y \rightarrow \cdot\gamma, L'$ ] by [ $Y \rightarrow \cdot\gamma, L' \cup \varepsilon\text{-ffi}(\beta L)$ ]
      else  $q := q \cup \{[Y \rightarrow \cdot\gamma, \varepsilon\text{-ffi}(\beta L)]\}$ 
      fi
    od;
  return( $q$ )
end ;

function Succ( $q$  : set of item,  $Y : V_N \cup V_T$ ) : set of item;
  return( $\{[X \rightarrow \alpha Y \beta, L] \mid [X \rightarrow \alpha.Y\beta, L] \in q\}$ );

```



## Computing Canonical LR(1)-States

begin

 $Q := \{ \text{Closure}(\text{Start}) \}; \quad \delta := \emptyset;$ foreach  $q$  in  $Q$  and  $X$  in  $V_N \cup V_T$  do  let  $q' = \text{Closure}(\text{Succ}(q, X))$  in    if  $q' \neq \emptyset$  (\*  $X$ -successor exists \*)

then

        if  $q'$  not in  $Q$  (\* new state \*)          then  $Q := Q \cup \{q'\}$ 

fi;

 $\delta := \delta \cup \{q \xrightarrow{X} q'\}$  (\* new transition \*)

fi

tel

od

end

## Computing Canonical LR(1)-States

- ▶ The test “ $q'$  not in  $Q$ ” uses an equality test on LR(1)-items.  
 $[K_1, L_1] = [K_2, L_2]$  iff  $K_1 = K_2$  and  $L_1 = L_2$ .
- ▶ The canonical LR(1)-parser generator splits LR(0)-states.
- ▶ LALR(1)-parsers could be generated by
  - ▶ using the equality' test  $[K_1, L_1] = [K_2, L_2]$  iff  $K_1 = K_2$ .
  - ▶ and replacing an existing state  $q''$  by a state, in which equal' items  $[K_1, L_1] \in q'$  and  $[K_2, L_2] \in q''$  are merged to new items  $[K_1, L_1 \cup L_2]$ .

Example from  $G_0$ 

$$\begin{aligned}
 S'_0 &= \text{Closure}(\text{Start}) \\
 &= \{ [S \rightarrow \cdot E, \{\#\}] \\
 &\quad [E \rightarrow \cdot E + T, \{\#, +\}], \\
 &\quad [E \rightarrow \cdot T, \{\#, +\}], \\
 &\quad [T \rightarrow \cdot T * F, \{\#, +, *\}], \\
 &\quad [T \rightarrow \cdot F, \{\#, +, *\}], \\
 &\quad [F \rightarrow \cdot (E), \{\#, +, *\}], \\
 &\quad [F \rightarrow \cdot \text{id}, \{\#, +, *\}] \}
 \end{aligned}$$

$$\begin{aligned}
 S'_1 &= \text{Closure}(\text{Succ}(S'_0, E)) \\
 &= \{ [S \rightarrow E \cdot, \{\#\}] \\
 &\quad [E \rightarrow E \cdot + T, \{\#, +\}] \}
 \end{aligned}$$

$$\begin{aligned}
 S'_2 &= \text{Closure}(\text{Succ}(S'_0, T)) \\
 &= \{ [E \rightarrow T \cdot, \{\#, +\}], \\
 &\quad [T \rightarrow T \cdot * F, \{\#, +, *\}] \}
 \end{aligned}$$

Inadequate LR(0)-states  $S_1$ ,  $S_2$  und  $S_9$  are adequate after adding lookahead sets.

$S'_1$  shifts under "+", reduces under "#".

$S'_2$  shifts under "\*", reduces under "#" and "+",

$S'_9$  shifts under "\*", reduces under "#" and "+".

$$\begin{aligned}
 S'_6 &= \text{Closure}(\text{Succ}(S'_1, +)) \\
 &= \{ [E \rightarrow E + \cdot T, \{\#, +\}], \\
 &\quad [T \rightarrow \cdot T * F, \{\#, +, *\}], \\
 &\quad [T \rightarrow \cdot F, \{\#, +, *\}], \\
 &\quad [F \rightarrow \cdot (E), \{\#, +, *\}], \\
 &\quad [F \rightarrow \cdot \text{id}, \{\#, +, *\}] \}
 \end{aligned}$$

$$\begin{aligned}
 S'_9 &= \text{Closure}(\text{Succ}(S'_6, T)) \\
 &= \{ [E \rightarrow E + T \cdot, \{\#, +\}], \\
 &\quad [T \rightarrow T \cdot * F, \{\#, +, *\}] \}
 \end{aligned}$$

## Non-canonical LR-Parsers

SLR(1)- and LALR(1)-Parsers are constructed by

1. building an LR(0)-parser,
2. testing for inadequate LR(0)-states,
3. extending complete items by lookahead sets,
4. testing for inadequate LR(1)-states.

The lookahead set for item  $[X \rightarrow \alpha.\beta]$  in  $q$  is denoted

$LA(q, [X \rightarrow \alpha.\beta])$

The function  $LA : Q_d \times It_G \rightarrow 2^{V_T \cup \{\#\}}$  is differently defined for SLR(1) ( $LA_S$ ) und LALR(1) ( $LA_L$ ).

SLR(1)- and LALR(1)-Parsers have the size of the LR(0)-parser, i.e., no states are split.

## Constructing SLR(1)-Parsers

- ▶ Add  $LA_S(q, [X \rightarrow \alpha.]) = FOLLOW_1(X)$  to all complete items;
- ▶ Check for inadequate SLR(1)-states.
- ▶ Cfg  $G$  is **SLR(1)** if it has no inadequate SLR(1)-states.

Example from  $G_0$ :

Extend the complete items in the inadequate states  $S_1, S_2$  and  $S_9$  by  $FOLLOW_1$  as their lookahead sets.

$$S_1'' = \left\{ \begin{array}{l} [S \rightarrow E., \{\#\}], \\ [E \rightarrow E. + T] \end{array} \right\}, \quad \begin{array}{l} \text{conflict removed,} \\ \text{"+" is not in \{\#\}} \end{array}$$

$$S_2'' = \left\{ \begin{array}{l} [E \rightarrow T., \{\#, +, \})], \\ [T \rightarrow T. * F] \end{array} \right\}, \quad \begin{array}{l} \text{conflict removed,} \\ \text{"*" is not in \{\#, +, \})} \end{array}$$

$$S_9'' = \left\{ \begin{array}{l} [E \rightarrow E + T., \{\#, +, \})], \\ [T \rightarrow T. * F] \end{array} \right\}, \quad \begin{array}{l} \text{conflict removed,} \\ \text{"*" is not in \{\#, +, \})} \end{array}$$

$G_0$  is an SLR(1)-grammar.

## A Non-SLR(1)-Grammar

$$S' \rightarrow S$$

$$S \rightarrow L = R \mid R$$

$$L \rightarrow *R \mid \mathbf{id}$$

$$R \rightarrow L$$

Slightly abstracted form of the C-assignment.

## States of the LR-DFSM as sets of items

$$\begin{array}{ll}
 S_0 = \{ [S' \rightarrow \cdot S], & S_5 = \{ [L \rightarrow \mathbf{id} \cdot] \} \\
 [S \rightarrow \cdot L = R], & \\
 [S \rightarrow \cdot R], & S_6 = \{ [S \rightarrow L = \cdot R], \\
 [L \rightarrow \cdot * R], & [R \rightarrow \cdot L], \\
 [L \rightarrow \mathbf{id}], & [L \rightarrow \cdot * R], \\
 [R \rightarrow \cdot L] \} & [L \rightarrow \mathbf{id}] \} \\
 \\
 S_1 = \{ [S' \rightarrow S \cdot] \} & S_7 = \{ [L \rightarrow * R \cdot] \} \\
 \\
 S_2 = \{ [S \rightarrow L \cdot = R], & S_8 = \{ [R \rightarrow L \cdot] \} \\
 [R \rightarrow L \cdot] \} & \\
 \\
 S_3 = \{ [S \rightarrow R \cdot] \} & S_9 = \{ [S \rightarrow L = R \cdot] \} \\
 \\
 S_4 = \{ [L \rightarrow * \cdot R], & \\
 [R \rightarrow \cdot L], & \\
 [L \rightarrow \cdot * R], & \\
 [L \rightarrow \mathbf{id}] \} &
 \end{array}$$

$S_2$  is the only inadequate LR(0)-state.

Extend  $[R \rightarrow L \cdot] \in S_2$  by  $FOLLOW_1(R) = \{\#, =\}$  does not remove the

shift-reduce conflict, since the symbol to shift, "=", is in the lookahead set

## LALR(1)-Parsers

$$\text{SLR(1): } LA_S(q, [X \rightarrow \alpha.]) = \{a \in V_T \cup \{\#\} \mid S'\# \xRightarrow{*} \beta X a \gamma\} = FOLLOW_1(X)$$

$$\text{LALR(1): } LA_L(q, [X \rightarrow \alpha.]) = \{a \in V_T \cup \{\#\} \mid S'\# \xRightarrow{rm^*} \beta X a w \text{ and } \delta_d^*(q_d, \beta \alpha) = q\}$$

Lookahead set  $LA_L(q, [X \rightarrow \alpha.])$  depends on the state  $q$ .

- ▶ Add  $LA_L(q, [X \rightarrow \alpha.])$  to all complete items;
- ▶ Check for inadequate LALR(1)-states.
- ▶ Cfg  $G$  is **LALR(1)** if it has no inadequate LALR(1)-states.
- ▶ Definition is not constructive.
- ▶ Construction by modifying the LR(1)-Parser Generator, merging items with identical cores.

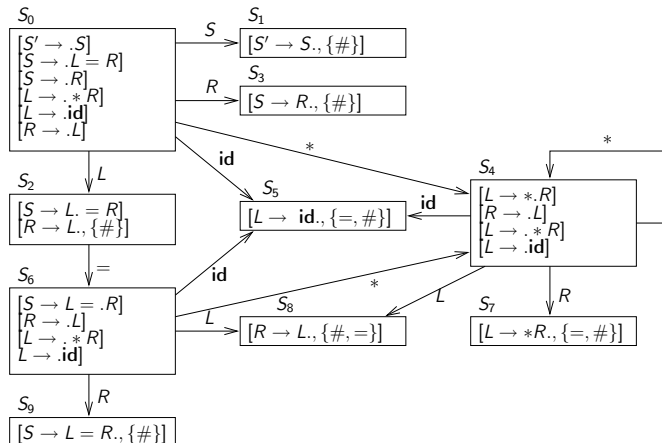


## The Size of LR(1) Parsers

The number of states of canonical and non-canonical LR(1) parsers for Java and C:

	C	Java
LALR(1)	400	600
LR(1)	10000	12000

## Non-SLR-Example



Grammar is LALR(1)-grammar.

## Interesting Non $LR(1)$ Grammars

- ▶ Common “derived” prefix
 
$$A \rightarrow B_1ab$$

$$A \rightarrow B_2ac$$

$$B_1 \rightarrow \epsilon$$

$$B_2 \rightarrow \epsilon$$

- ▶ Optional non-terminals

$$St \rightarrow OptLab St'$$

$$OptLab \rightarrow id :$$

$$OPtlab \rightarrow \epsilon$$

$$St' \rightarrow id := Exp$$

- ▶ Ambiguous:
  - ▶ Ambiguous arithmetic expressions
  - ▶ Dangling-else

## Bison Specification

Definitions: start-non-terminal+tokens+associativity

%%

Productions

%%

C-Routines

## Bison Example

```
%{
int line_number = 1 ; int error_occ = 0 ;
void yyerror(char *);
#include <stdio.h>
%}
%start exp
%left '+'
%left '*'
%right UMINUS
%token INTCONST
%%
exp:  exp '+' exp { $$ = $1 + $3 ;}
     |  exp '*' exp { $$ = $1 * $3 ;}
     |  '-' exp %prec UMINUS { $$ = - $2 ; }
     |  '(' exp ')' { $$ = $2 ; }
     |  INTCONST
     ;
%%
void yyerror(char *message)
{ fprintf(stderr, "%s near line %ld. \n", message, line_number);
  error_occ=1; }
```

## Flex for the Example

```
%{
#include <math.h>
#include "calc.tab.h"
extern int line_number;
%}
Digit [0-9]
%%
{Digit}+                {yyval = atoi(yytext) ;
                          return(INTCONST); }

\n      {line_number++ ; }
[\t ]+  ;

.      {return(*yytext); }
%%
```